

## **Annexure - A**

### **6 iv. POLICY ON INTERNAL CONTROL**

This has with reference to Exchange Circular No.NSE/INSP/17338, dated 24-03-2011 and NSE/INSP/17326, dated 23-03-2011.

We have our own Internal Auditor. They will conduct Audit to our all transactions, books and records on monthly / bimonthly basis. We are conducting monthly review meeting with Traders in charges both Cash and F&O Segment, Accounts in charges, Delivery in charge and EDP in charge.

At the review meeting:

1. We have strictly advise the staff do not speared or circulate market rumours / unverified information obtained from client, industry or any other sources without verification.
2. We have not allowed our employees' access to Blogs / Chat Forums / Messenger Sites etc.
3. We have advised / directed to our employees that if they have received any market related news from their office mail / personal mail / blog or in any other manner should be forwarded after the same has been approved by the Compliance Officer.